American Recovery and Reinvestment Act (ARRA)
NIH Allocation of ARRA Funds Dollars In Billions

- Extramural Scientific Equipment
- Comparative Effectiveness Research
- Extramural Repair, Improvements, & Const.
- Intramural Repair, Improvements, & Constr.
- $8.2 Extramural Scientific Research (All ICs, OD, Common Fund)
- $1.0
- $0.3
- $0.5
- AHRQ - $0.4

ARRA appropriated $10 billion directly to NIH

Oversight, Risk Management
Financial & Employment Reporting

ARRA Award Features

- ARRA requires recipients to account for, track, monitor, report on, provide for audit of, and closeout ARRA funding separately from non-ARRA awards
- To implement these requirements NIH has established a number of unique business processes
- Guide Notice NOT-OD-09-080 Issued 4/3/2009 describing ARRA features:
  
In addition to the standard NIH terms of award, all NIH ARRA awards will include special ARRA terms.

These terms are provided to NIH by HHS.

The award includes a link to a PDF attachment posted on the NIH Award Terms website:
http://grants.nih.gov/grants/policy/NIH_HHS_ARRA_Award_Terms.pdf

As updates are provided by HHS, they will be posted at this same site.

Banner:  All ARRA awards will include a banner at the top of each award clearly noting this award as issued under ARRA

CFDAs:  NIH has established 2 trans-NIH CFDAs just for ARRA
- 93.701: For all non-Construction ARRA funding
- 93.702: For all NCRR Construction ARRA funding

CANs: NIH Institutes/Centers have established unique CANs that are associated with these new CFDAs
Unique Business Processes: Administrative Supplements & Competing Revisions

- These present a unique challenge—accommodating the “separation” and accountability required by ARRA while still requiring a programmatic connection to the parent grant.

- All cumulative references to the parent grant are being removed from ARRA T-3s.

- ARRA T-3 budget/projects periods will reflect that of the T-3 only and not the parent.

Unique Business Processes: Administrative Supplements & Competing Revisions (cont.)

- ARRA T-3 budget/project period end dates need not be in “sync” with the parent.

- ARRA T-3s issued in FY2009 may be for a single budget/project period up to 24 months. Assumes parent record has this time remaining (including any no-cost extension).

- ARRA T-3s issued in FY2010 will be no more than a 12-month budget/project period.
Unique Business Processes: Administrative Supplements & Competing Revisions (cont.)

- No “co-mingling” of ARRA T-3 and parent grant $$ allowed (assuming parent grant is funded with regular NIH appropriation $$)
  - Separate institution accounts required
  - Separate PMS drawdowns
  - No rebudgeting between parent and ARRA T-3
  - No carryover of ARRA T-3 balances into the parent

Unique Business Processes: Administrative Supplements & Competing Revisions (cont.)

- No-cost Extensions of ARRA-funded T-3s
  - ARRA T-3s are awarded under NIH Terms of Award and will therefore be eligible for a one-time no-cost extension
  - This is separate from the parent record
  - Prior approval requests to extend beyond that initial 12-month extension will only rarely be considered
Unique Business Processes: Administrative Supplements & Competing Revisions (cont.)

- Unique Award Terms for ARRA T-3s
  - Will cite standard ARRA Terms
  - Will also include references to separate financial reporting; separate closeout; restrictions on rebudgeting and carryover

Unique Business Processes: Modified Carryover Authorities

- If an ARRA award includes a commitment for an ARRA-funded future year in FY2010, grantees will be able to carryover from the FY2009 budget period into the FY2010 period
- No carryover allowed though between ARRA funding budget periods and budget periods funded by non-ARRA fund
Unique Business Processes: Modified Carryover Authorities

<table>
<thead>
<tr>
<th>Grant Number</th>
<th>Document Number</th>
<th>Notes</th>
</tr>
</thead>
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<tr>
<td>5 R01 AI123456-04</td>
<td>RAI123456A</td>
<td>Non-ARRA Funded</td>
</tr>
<tr>
<td>2 R01 AI123456-05</td>
<td>RAI123456Z</td>
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<tr>
<td>2 R01 AI123456-07</td>
<td>RAI123456B</td>
<td>Non-ARRA Funded</td>
</tr>
</tbody>
</table>

- 04 Yr funds **CANNOT** be carried forward into -05; -04 year financially closed
- 05 Yr funds **CAN** be carried forward into -06
- 06 Yr funds **CANNOT** be carried forward into -07
- 04 Yr funds **CANNOT** be carried forward into -07

Unique Funding: 2-Phase RPG

- Some ICs may chose to fund a Research Project Grant (e.g., R01) in 2 phases
  - Phase 1: FY2009 & FY2010 funded with ARRA $$$
  - Phase 2: From the regular IC appropriation beginning in FY2011 or beyond
- Award for Phase 1 will show only the ARRA project period and future year commitment; the commitments from the regular IC appropriation will be referenced in a special award term
Stimulus Pre-Award (PDS) & Post Award (APS)
Tracking Explained

• The Stimulus table has flag settings that will indicate by proposal, by fund whether or not the proposal/fund is Stimulus or non-Stimulus.
  -- These flags are set on the Stimulus table at the proposal level in PDS and at the proposal/fund level in APS

• We can track the following scenarios based on the flag status:
  -- Stimulus proposal with Stimulus funding: PDS stimulus = Y APS Stimulus = Y
  -- Stimulus proposal with non-Stimulus funding: PDS stimulus = Y APS Stimulus = N
  -- Non Stimulus proposal with Stimulus funding: PDS stimulus = N APS Stimulus = Y

• Not included in the Stimulus table
  -- Non Stimulus proposal with non Stimulus funding

• We can track the following scenarios only if the dept admin copies the proposal from the original:
  -- Original Proposal is not stimulus, but the Revised proposal is stimulus and funded or not funded as stimulus.
  -- Currently, proposals that are marked as Revisions in PDS and have been copied from the original document are tied together thru their proposal ID in PDS.
  -- This can be seen in the field called Copied From in the PDS application.
  -- The copied from proposal relationship would provide a good means for Stimulus tracking.
  -- Revisions that are not tied back to an original document in PDS would require some manual intervention to link them.
Visual Representation of Pre-Award (PDS) – Post Award (APS) Tracking

Pre-Award (PDS)

- Proposal ID: 123
  - Stimulus
  - Revised Proposal Copied
  - Original Proposal Entered
  - Business Admin

Post Award (APS)

- Proposal ID: 456
  - Stimulus
  - Copied From 123
  - Trace Back to Proposal ID

- Original Proposal Entered
- Revised Proposal Entered in but not Copied

- Fund Num: 701-222
- Proposal ID: 456
- Trace Back to Proposal ID

- Proposal ID is entered into the APS System upon receiving the award letter.
- Grant Analyst can trace this back to Proposal based on the proposal id from the award letter.
- If revised proposal was copied then it can be traced back to the original proposal

Stimulus Pre-Award (PDS) & Post Award (APS) Tracking Recommendation

- It is recommended that proposals not be updated or checked as stimulus because they were awarded stimulus.
  - The reason for this is that we have the possibility for revised non-stimulus proposals to be awarded with stimulus funds
  - There is a thorough process in place between the business admin and the grant analyst to verify if the proposal should be marked stimulus or not.
  - The system prompts the user before saving the proposal to verify if they want the proposal to be marked as stimulus.

- Updates to mark an awarded proposal as stimulus should come only from the central unit and this should be a judgment from the central unit based on accumulated facts from the documentation and conversations with the Dept Admins or PIs
NIH/eRA Commons Update

NIH received $30.4 billion
~ Increase of 3% over FY 2008
~ Supports 9,800 new and competing RPGs

Average competing award expected to increase by 3%

Noncompeting awards to be supported at the most recently committed levels

1% increase in all NRSA stipend levels

Continuing Resolution

Implementation of NIH Fiscal Policy Grant Awards – FY 2009

NOT-OD-09-066

- This Notice provides guidance about the NIH Fiscal Operations Plan for FY2009 and it implements the FY 2009 enacted Omnibus appropriation that provided NIH with $30.4 billions or 3 percent more than FY 2008 funding. The NIH will continue to manage its portfolio of investments in biomedical research as described in the FY 2008 Fiscal Policy Notice (see http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-036.html). This includes continuing to address the need for a highly productive pool of researchers by providing support for new investigators and sustaining established investigators, who have little or no additional research support.

- The FY 2009 appropriation allows NIH to support investments in research by funding research grants at the most recently committed levels. Non-competing awards previously issued in FY 2009 at reduced levels (90%) Non-competing awards previously issued in FY 2009 at reduced levels will be revised to restore funds to the level indicated above.

- This policy does not apply to Career Awards, SBIR/STTRs, and Ruth L. Kirschstein-National Research Service Award (NRSA) Individual Fellowships & Institutional Training Grants.

Med School - Continuing Resolution (cont)

SNAP - Modular
PDS Document
Lump sum, or detailed direct cost budget - Per the Notice of Grant Award (NGA) Recommended Amount (Total Costs), with appropriate F&A rate/s
Award Stage
Funds will be budgeted according to the Notice of Grant Award (NGA)

SNAP - Non-Modular
PDS Document
Lump sum, or detailed direct cost budget - Per the NGA Recommended Amount (Total Costs), with appropriate F&A rate/s
Award Stage
G&C will apply the budgetary cut to either BU 34 or 35 depending on which has the most money

Non-SNAP - Non-Modular
PDS Document
Full, categorical, detailed budget - Per the NGA Recommended Amount (Total Costs), with appropriate F&A rate/s
Award Stage
Funds will be budgeted according to the Notice of Grant Award (NGA)
New Business Process for Submitting Revised Project Summary/Abstracts, Specific Aims, and/or Public Health Relevance Statements when Changing the Scope of Proposed Research Projects

NOT-OD-09-088
Release Date: May 5, 2009

Note: Below is Redacted Version of the aforementioned notice. You are responsible for reviewing entire notice

Purpose

To advise applicants of a new business process for documenting changes in scope for proposed research projects. These changes can result from applicant discussions with NIH grants management or program staff. For example, some grant applications will require reductions in scope in order to be accomplished in the 2 years or less required by the Recovery Act, thus requiring modifications to the Project Summary/Abstract, Specific Aims, and/or Public Health Relevance sections.

Effective for all Project Summary/Abstract, Specific Aims, and/or Public Health Relevance sections revised after the publication date of this notice, this new business process will facilitate accurate storage of the revised information, and allow the data to be analyzed and accurately reported to the public.

Procedure

When requested by NIH, PD/PIs and applicant organization officials should discuss potential changes in scope with NIH Program Officials and revise the Project Summary/Abstract, Specific Aims, and/or Public Health Relevance sections of their application as appropriate. Once all issues are resolved, applicants should e-mail a document with final versions of the revised sections to the IC-designated e-mail as a single Microsoft Word or Adobe PDF file.

All revised application information submitted to the NIH must be approved by an Authorized Organizational Representative (AOR).
New Business Process for Submitting Revised Project Summary/Abstracts, Specific Aims, and/or Public Health Relevance Statements when Changing the Scope of Proposed Research Projects (cont.)

Procedure cont.

The three headings listed below must be included in the document that is submitted even if a particular section had no changes from the previous submission. If there are no changes for a section include the header but leave the text area blank to ensure appropriate processing of this information by NIH's electronic systems. A template for providing this information can be found at [http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_ModifiedScopeTemplate.doc](http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_ModifiedScopeTemplate.doc) and [http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_ModifiedScopeTemplate.pdf](http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_ModifiedScopeTemplate.pdf).

Modified Project Summary/Abstract Section

Provide a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained description of the project and should contain a statement of objectives and methods to be employed. It should be informative to other persons working in the same or related fields and insofar as possible understandable to a scientifically or technically literate lay reader. This abstract must not include any proprietary or confidential information. This section must be no longer than 30 lines of text.

Modified Specific Aims Section

List the broad, long-term objectives and the goal of the specific research proposed, for example, to test a stated hypothesis, create a novel design, solve a specific problem, challenge an existing paradigm or clinical practice, address a critical barrier to progress in the field, or develop new technology. One page is recommended.

Modified Public Health Relevance Section

Using no more than two or three sentences, describe the relevance of this research to public health. In this section, be succinct and use plain language that can be understood by a general lay audience.

Stipend Levels

Stipend levels have increased please see

NOT-OD-09-075

Released: March 27, 2009
RePORT: New Tools to Search NIH Data

Research Portfolio Online Reporting Tool

- New reports, data and analyses website released in 2008 and recently significantly expanded with addition of RCDC data (Research, Condition and Disease Categorization process)

- Replaces the current Award Information and Data web page and provides:
  - Access to Frequently Requested Reports
  - FAQs on how success rates are computed
  - Information about the NIH budget
  - Easy search tools for locating data and reports
  - Links to funding estimates for RCDC data.

New NIH Policy Limiting Number of Resubmission

- Recommendation of the Peer Review Oversight Committee:
  - increase the number of high quality and first resubmissions that can be funded earlier
  - reduce applicant burden of multiple resubmissions
  - remove delays in funding for meritorious science.

- Number of resubmission applications limited to 1 (original and one resubmission)
  - Applies to all applications submitted for January 25, 2009 due date and beyond.
  - Applications submitted prior to January 25, 2009 are “grandfathered” in and allowed two resubmissions. Second resubmission must be received by January 7, 2011.

- Applies to all activity codes and all applications

**NIH Priority: Assistance to Early Stage Investigators**

- **ESI Definition:** A new or first time investigator who is within 10 years of completing his/her terminal research degree or medical residency (or equivalent).

- Identification of ESIs will occur in the Personal Profile section of the eRA Commons. Investigators who enter degree and residency completion dates will be notified of their ESI status by email.

- Career stage of Early Stage Investigators (ESIs) will be considered during review and award selection.

- Process to extend ESI stage for legitimate reasons (active military duty, medical concerns, disability, family care responsibilities, natural disasters, extended periods of clinical training, etc.) established.


**Revised NIH Parental Leave Policy for NRSA Awards**

Trainees on institutional training grants and individual fellowships may receive stipends for up to 60 calendar days (equivalent to 8 work weeks) for parental leave per year.

- NRSA programs affected: F30, F31, F32, F33, T32, T34, T35 and NRSA portion of T90 awards.

- Applies to adoption or birth of a child when those in comparable training positions at the grantee organization have access to this level of paid parental leave.

- Either parent is eligible.

- The use of parental leave must be approved by the training Program Director.

Revised Policy on Concurrent Support from K Awards and Research Grants

“Recipients of mentored career development (K) awards may reduce effort on their K award in its final two years when they successfully compete for a peer-reviewed research grant from any Federal agency.”

- Effort may be reduced to no less than 6 person-months (or 50% full-time professional effort) and replaced with effort from the research award so the total research effort commitment remains at 9 person-months (75% effort).

- K awardee must be one of the named PIs on a competing research grant application or sub-project director on multi-component research or center grant or cooperative agreement.


Additional New Policies on “K” Career Development Awards

- K recipients may request NIH permission to reduce their full-time appointment to less than full-time (but not less than 75%) for a period not to exceed 12 continuous months during the K award period. Option available only after K award is issued.

- Under certain circumstances (accommodating parental leave, child care, medical conditions, or disability, but not job opportunities, clinical practice/training, or joint appointments.) K awardees may request NIH permission to reduce professional effort to less than 75% (but over 50%) for up to 12 continuous months.

- A temporary career development experience at another institution for 3 months or less allowable without NIH permission.

- A leave of absence may be taken without award support, but may not exceed 12 months.

Implementation Update

- **Improve Scoring** – *Implementation in May, 2009.*
  ~ Applications will receive an overall score from each panel member from 1-9; scores will be averaged and multiplied by 10. Thus priority scores will range between 10-90.
  ~ Priority scores will be percentiled against an appropriate base and reported in whole numbers.

- **Improve Critiques** – *Implementation in May, 2009.*
  ~ Reviewer critiques will be compiled into a summary statement that will be shorter and more focused than currently due to standardized organization and reporting of strengths and weaknesses.
  ~ ALL applications (including streamlined applications) will receive feedback.


Public Access Policy

- The policy requires investigators who are funded by NIH to submit their final peer-reviewed manuscripts to PubMed Central.
- Applicable to:
  ~ Peer-reviewed articles,
  ~ Accepted for publication on or after 4/7/08, and
  ~ Arising from direct grant or contract funds active in FY 2008, and beyond.
- Full-text articles to be made publicly available on NLM’s PubMed Central no later than 12 months after date of publication
- NIH is going to start cracking down on the policy and may take one or more enforcement actions depending on the severity and duration of non-compliance.
- *For questions regarding the policy please contact Cathy Sarli at 362-7865*

Registration of Clinical Trials

The FDA Amendments Act (P.L. 110-85) mandates registration and results reporting at ClinicalTrials.gov by sponsors of applicable clinical trials.

- Expansion of existing ClinicalTrials.gov registry.
- Includes Phase II-IV clinical trials of drugs and devices subject to FDA regulation.
- Increased number of data elements that must be submitted.
- Not limited to trials for serious or life threatening diseases.
- Competing applications and progress reports grants with NIH-funded trials must include a certification of submission.
- NIH encourages registration in ClinicalTrials.gov of ALL clinical research trials funded by NIH, whether required under the law or not.


Federal Funding Accountability and Transparency Act (FFATA)

Public Law 109-282 requires information on every grant, including 1) the amount, 2) descriptive purpose of the grant, and 3) name and location of recipient of primary performance location.

- Prepare for potential increased attention and scrutiny when data is made publicly available.
- NIH is actively involved with a variety of Federal-wide committees to implement the act and is tailoring processes to meet its requirements.
- FFATA has required form changes in the 424 (R&R) and PHS 398 to capture performance site information. – Stay Tuned!
- Requires reporting on all subawards over $25,000. Pilot system for collecting currently in development – Stay Tuned!

FCOI Summary of Reporting Requirements

- **At the time of application**: Investigators must submit known significant financial interests to the institution.

- **Prior to the expenditure of funds**: The institution must report a financial conflict of interest to the NIH and assure that it has been managed, reduced, or eliminated.

- **FCOI identified after the initial report**: The institution must report within 60 days of identification and assure that it has been managed, reduced, or eliminated.


FY 2009 OIG Audit Work Plan Selected Highlights

- **Colleges’ and Universities’ Compliance with Cost Principles**
  - Cost transfers, effort reporting, and other areas

- **Use of Data and Safety Monitoring Boards in Clinical Trials**

- **NIH Monitoring of Extramural Conflicts of Interest**
  - How NIH monitors extramural grantees’ FCOI and the effectiveness of NIH’s oversight

- **Grantee Management of Financial Conflicts of Interest in Research Funded by the NIH**
  - Monitoring of FCOIs, nature of FCOIs, and how FCOIs are managed (for FCOIs reported to NIH in FY 2006)

Adobe Application Forms and Electronic Submission

Changes to electronic applications are on the way! Learn how this affects YOU.

Sample Changes to Application Forms

- R&R Senior/Key Person Profile
  - Added Degree Type and Year (will allow NIH to remove Degree fields from PHS 398 Cover Pg Supplement)
- R&R Project/Performance Site Location(s)
  - Moved Congressional District data from R&R Cover Component
  - Added DUNS Number field
- PHS 398 Checklist
  - Added Disclosure Permission Statement
- R&R Other Project Information
  - Re-ordered and revised Human Subjects fields
  - Revised fields on Environmental Impact
  - Added field for impact on Historical Places

More at: http://grants.nih.gov/grants/forms.htm
**Future Transitions to Electronic Submission with Adobe Forms**

- Fellowship (F): August 8, 2009 (tentative)
- Training (T): September 25, 2009
- Complex: TBD

Timeline is subject to change due to Grants.gov form development delays


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**Reminder: Avoid Common eSubmission Errors**

- Use PDF format for text attachments and do not embed movies or other materials in PDF attachments.
- R&R Senior/Key Person Profile(s) form
  - Include eRA Commons Username in the ‘Credential, e.g., agency login’ field for all individuals assigned a PD/PI role.
  - Include the Organization Name for all Senior/Key Persons listed.
- R&R Budget form - Senior/Key Person effort must be greater than zero.

*Clicking ‘Submit’ is not the last step. Remember... if you can’t view it in Commons, we can’t review it*

X-Train is a new system to improve the administration of NIH Training Grants.
- Allows PIs and staff to electronically submit appointment forms and termination notices
- Allows institutional staff to track status and timing of appointment actions
- Will be available through eRA Commons

- Pilot testing expanded to all FDP institutions in June, 2008.
- Full production release expected in 2009.

Profile Maintenance

- PIs have an obligation to keep information in their Personal Profile current and need to update it regularly

- Profile data used in other electronic processes (e.g., Summary Statements, eNotifications)
  ~ Degrees
  ~ Position Titles
  ~ Addresses - e-mail & postal

- Especially critical for early stage investigators

Helpful NIH Technical Assistance Resources
Summary of Helpful NIH Web Pages

- NIH Searchable Database of RFAs, PAs, and Guide Notices

- NIH Grants Policy Statement (Rev. 12/03)

- NIH Extramural Nexus – Monthly newsletter (previously bimonthly) for the extramural community
  http://grants.nih.gov/grants/nexus.htm

- CRISP database – Search to analyze an Institute's portfolio of funded projects, research areas, and more

- Grant Application Basics http://grants.nih.gov/grants/grant_basics.htm


NIH Regional Seminars on Program Funding and Grants Administration

2009 Regional Seminars:

- Seminar #1: April 16-17, 2009 in Atlanta, GA
- Seminar #2: June 25-26, 2009 in Las Vegas, NV

NIH electronic research administration labs offered in conjunction with the two-day seminars.

- Yearly seminars to educate research administrators, investigators new to NIH, and trainees.
- Due to the popularity of these seminars and availability of space - Early Registration is Highly Recommended!
- Seminar and Registration Information: http://grants.nih.gov/grants/seminars.htm

Interested in hosting? Contact us!

## NIH OER Listserv Addresses and Instructions

- **Office of Biotechnology Activities (OBA):**

- **Office of Human Research Protections (OHRP):**

- **Office of Laboratory Animal Welfare (OLAW):**
  - [http://grants.nih.gov/grants/olaw/references/list.htm](http://grants.nih.gov/grants/olaw/references/list.htm)

- **eSubmission:**
  - Separate listservs available for scientists and administrators

- **NIH Guide for Grants and Contracts:**
  - The official publication for NIH medical and behavioral research Grant Policies, Guidelines and Funding Opportunities
Reminder

Please read through Sponsor Agreements before sending over for a signature
Selecting the “Next Reviewer” in eRA for eSNAPS

NIH had previously approved of WU using the generic account of “WUSM App” as an SO account for submitting eSNAPs, but they have since changed their minds.

Effective June 1, 2009, please revert to the previous practice of listing your Grant Analyst as the “Next Reviewer” in eRA for eSNAP applications.

Go to for the latest instructions

www.grantsandcontracts.com

Under Application Process

See eSNAP procedure
## Submission Statistics

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*As of May 12

Competitive Revisions (supplements) submitted for 4/21/09 = 44
RC1’s submitted for 4/27/09 = 174
The BJHF Guidelines read as follows regarding 0% F&A:

“Grants funded from BJH Foundation Endowed Chairs and Special Requests (e.g. recruitment funds) as approved by WUSM will not receive indirect costs.”

In the past G&C was given the authority to approve “recruitment”, “start-up”, “special request” and “per so-and-so at BJHF’s this should be 0%”. It is now being dictated that G&C should not approve any justification other than “endowed chair” for 0% F&A. From this point forward, the Dean wants to review all requests for less than BJHF’s published rates.
Any F&A rate that deviates from the above rates is subject to the F&A Waiver Process (Developed Nov. 2006), as follows:

**F&A Waiver Process (PI/Dept. submits F&A letter to reduce or waive F&A)**

- Budget can go out with reduced F&A rate or no F&A rate (as decided by PI)
- **PDS doc.** -> Should reflect reduced F&A rate or 0%. An over-ride to the F&A is needed.
- **PDS doc.** -> Dept. should enter comment, “Dept. agrees to submit overhead reduction or waiver request to Dean. If the Dean does not approve the waiver, the dept. agrees to cover costs (i.e., cost sharing) to cover applicable F&A OR; dept. agrees that Direct Costs will be cut to cover associated F&A
- **Hard Copy** -> Should reflect reduced F&A rate or 0%

**Barnes Jewish Hospital Foundation F&A (cont.)**

Notes:

- This processes apply to any year of an BJHF award (e.g. year one and/or any subsequent years regardless of whether it is competitive or non-competitive).
- This processes apply to Notice of Awards that are issued at 0% F&A, but do not site “endowed chair” as the reason for 0% F&A.
- Setup of award could be delayed if F&A issue has not been resolved.

*Reminder: F&A reduction or waivers should be sent to G&C first. G&C will then forward the waiver to the Dean.*
**Scenario A**
Human/Animal approval verification needs to be sent with an application, or JIT that will have a separate signature (i.e. face page, cover letter, etc.). The human/animal approval should be sent with the application. It will not be necessary for G&C to sign the approval as signature on the Face Page, or cover letter applies to everything in the packet.

**Scenario B**
The agency has a form that should be utilized for informing the agency of an animal approval verification (e.g. March of Dimes form). Department should complete the form and submit to G&C with the applicable approval. G&C will sign the form (not the approval).

**Scenario C**
The agency does not have a form for sending human/animal approval verification. Department should submit the approval to G&C. G&C will stamp the actual approval with John’s information (John Michnowicz, Director, Grants & Contracts, Washington University, School of Medicine) and provide signature on the approval.

Note: In cases where the PI, title, or funding source do not match the application, the department should provide a “Certification of Grant/Study Correspondence” form, but G&C would still sign off on the applicable approval that was provided.

Reminder: Go to Grants and Contracts website for copies of forms etc.
NCURA Update

• Region IV Spring Meeting in Minneapolis was successful, with a very slight reduction of attendees from last year.
• Several members registered and were unable to attend at the last minute due to ARRA proposal deadlines.
• Most presentation slideshows are available on the Region IV site.
• Christa Johnson of SIUE was voted Chair-Elect for Region IV for 2010.
• NCURA’s National Meeting this fall is scheduled from October 21-24, 2009, at the Washington Marriott Wardman Park Hotel: Note the change from the usual Hilton, which is undergoing major restoration and updates.
• Next year’s Region IV Spring Meeting is in Omaha, Nebraska.
Natalie Goodwin-Frank was awarded the Kevin Reed Outstanding New Professional Award for her quick willingness to offer her abilities, time, commitment and enthusiasm to Region IV.

Grants.gov

• All grantor agencies are now posting and accepting Adobe applications.
• PureEdge applications are no longer in use.
NCURA Update

Grants.gov

On March 9, 2009, Peter Orszag sent a memorandum to the heads of all departments and agencies stating that grants.gov was encountering unacceptable levels of performance issues and requested that all agencies provide a bypass mechanism alternative to the grants.gov submission.

http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-17.pdf

NCURA Update

ARRA and Grants.gov


- "Making supplemental appropriations for job preservation and creation, infrastructure investment, energy efficiency and science, assistance to the unemployed, and State and local fiscal stabilization, for the fiscal year ending September 30, 2009, and for other purposes."

- In an effort to provide complete transparency for Recovery Act spending, the website RECOVERY.gov was created. A helpful timeline about the Recovery Act is also available.
Contact Center Call Volume

In December 2008 the Grants.gov Contact Center received 10,102 calls. In March 2009 the Center received 36,287 for a 259% increase over December 2008. This resulted in increased customer wait times.
NCURA Update

- **Grants.gov Submission Volume**
  Grants.gov processed 38,646 applications in March 2009 which was a 78% increase over the 21,651 applications processed in February.

- **“Track My Application”** allows you to check the status of an application submission by simply entering its corresponding Grants.gov tracking number. With this new “Track My Application” feature, you will not need to login to see the status of a submitted package.
Developing a 90 day Plan of Action and Milestones

- Increase system capacity
- Improve submission processing speed
- Enhance overall user experience
- Monthly system builds (May – July)
  – Monthly builds versus quarterly

National Science Foundation (NSF) Update

Spring 2009
**NSF Update**

**FY2010 Budget**

- $7 Billion - Increase of 16 percent over FY 2008.
- Increased support for Graduate Research Fellowship and CAREER Programs.
- Increases support for Advanced Technological Education (ATE) Program.
- Increases support for exploratory, high risk research
- Supports research to predict future environmental conditions and develop strategies for responding to global environmental change.
- Details on specific allocations for FY 2010 will be available when the full budget is released.

**NSF Policy Implementation**

- American Recovery & Reinvestment Act of 2009
- America COMPETES Act
- Recent Significant Policy Changes
NSF Update

ACA Policy-Related Provisions of Interest to the Research Community

- SEC 7008: Postdoctoral Research Fellows
- SEC 7009: Responsible Conduct of Research
- SEC 7010: Reporting of Research Results
- SEC 7013: Cost Sharing

NSF Update

New Proposal & Award Policies & Procedures Guide Implementation

- Posted on the NSF website on February 17, 2009
- Effective date is April 6th, 2009
Other Significant PAPPG Changes

- Mentoring for Post Doctoral Researchers
- Faculty salary Clarification
- RAPID & EAGER Funding Mechanisms

What is Research.gov?

Research.gov...
- Enables institutions and grantees to:
  - Access a menu of grants management and information services for multiple federal agencies in one place
  - Modernizes FastLane by providing services:
    - Tailored to meeting the unique needs of the research community
    - aimed at easing the grants administrative burden
    - Increases transparency and public access to information about federal research spending and outcomes
Research.gov Partnerships

- Research.gov simplifies the research community’s access to information and grant services for multiple federal agencies
  - National Science Foundation (Lead)
  - National Aeronautics and Space Administration (Partner)
  - Department of Defense Research (Partner)
  - USDA's Cooperative State Research, Education, and Extension Service (Partner)

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