NIH Continuing Resolution

• Non-Competing Grant Awards Under the Current Continuing Resolution (CR) NOT-OD-09-002.

• Department of Health and Human Services continues to operate on a CR that currently extends through March 6, 2009.

• The CR applies the terms of the FY2008 appropriations for the period covered by the CR.
Encouraging Early Transition to Research Independence: Modifying the NIH New Investigator Policy to Identify Early Stage Investigators

Notice Number : NOT-OD-08-121

Background

For more than three decades the NIH has explicitly encouraged New Investigators to apply for NIH research grant support. The involvement of New Investigators is considered essential to the vitality of health-related research and has been addressed by several important NIH programs and studies which are detailed on the New Investigator Website at http://grants.nih.gov/grants/new_investigators/resources.htm.
Encouraging Early Transition to Research Independence: Modifying the NIH New Investigator Policy to Identify Early Stage Investigators (cont)

Definitions

**New Investigator:** An NIH research grant Program Director/Principal Investigator (PD/PI) who has not yet competed successfully for a substantial, competing NIH research grant is considered a New Investigator. For example, a PD/PI who has previously received a competing NIH R01 research grant is no longer considered a New Investigator. However, a PD/PI who has received a Small Grant (R03) or an Exploratory/Developmental Research Grant Award (R21) retains his or her status as a New Investigator. A complete definition of a New Investigator along with a list of NIH grants that do not disqualify a PD/PI from being considered a New Investigator can be found at [http://grants1.nih.gov/grants/new_investigators/resources.htm](http://grants1.nih.gov/grants/new_investigators/resources.htm).

For more Information please go to: [http://grants.nih.gov/grants/new_investigators/faq.htm](http://grants.nih.gov/grants/new_investigators/faq.htm)

**Early Stage Investigator (ESI):** An individual who is classified as a New or First-Time Investigator and is within 10 years of completing his/her terminal research degree or is within 10 years of completing medical residency (or the equivalent) is considered an Early Stage Investigator (ESI).

**Extension of ESI Eligibility:** The 10 year period after completion of the terminal degree or residency may be extended to accommodate special circumstances including various medical concerns, disability, pressing family care responsibilities, or active duty military service. Guidelines for requesting and considering such requests are being developed and will be announced.
Encouraging Early Transition to Research Independence: Modifying the NIH New Investigator Policy to Identify Early Stage Investigators (cont)

Planned Implementation

Beginning with traditional R01 applications received for the February 2009 receipt dates, the NIH will identify applications from ESIs and New Investigators and the career stage of the PD/PI will be considered at the time of review and award. Some of the details are still being worked out and will be announced as they become available. At the current time it is expected that the implementation will include the following features:

- The NIH will modify the data collection related to degree dates and medical residency within the personal profile of the eRA Commons (https://commons.era.nih.gov/commons/). When the revised data collection approach is announced PD/PIs must update their personal profile in the eRA Commons to provide information on degree and residency completion dates in order to be considered for the ESI classification.

- New Investigators within 10 years of their terminal research degree or within 10 years of completing their medical residency will be identified as ESIs using data entered in the eRA Commons.

- Investigators who enter degree and residency completion dates will be notified of their ESI status by email.

- NIH will eliminate the New Investigator Check Box on the face page of PHS 398 and Form 424 R&R application. New Investigators will be identified using data within the NIH administrative database.

- New Investigators as well as ESIs will be eligible for the “Full Implementation to Shorten the Review Cycle for New Investigator R01 Applications Reviewed in Center for Scientific Review (CSR) Recurring Study Sections” (http://grants.nih.gov/grants/guide/notice-files/NOT-OD-07-083.html).
Encouraging Early Transition to Research Independence: Modifying the NIH New Investigator Policy to Identify Early Stage Investigators (cont)

More complete information on the ESI-related features of the eRA Commons; instructions to reviewers for consideration of ESI applications; grouping of applications from ESIs during peer review; the process and criteria for requesting an extension of the period of eligibility; and a series of Frequently Asked Questions (FAQs) will be developed between the issuance of this announcement and the February R01 receipt dates.
Shortened Review Cycle for New Investigators (NOT-OD-07-083)

• A new investigator is an individual that has not been the principal investigator (PI) on any NIH research project other than R03, R15, R21, R55, R56, K01, K08, K22, K23, K25 or K99/00. *(This applies to all PIs in a Multiple PI proposal.)*

• Recipients of K02, K04, K05, K24 and K26 are not considered new investigators.

• This notice gives the option of submitting a resubmission/amended R01 application for consecutive review cycles cutting four months off of the standard resubmission processing time.

• If option is not taken, use of standard resubmission dates apply:

<table>
<thead>
<tr>
<th>New R01 Proposal Submission Due Date</th>
<th>Resubmission/Amended Proposal Due Date per NOT-OD-07-083</th>
<th>Standard Resubmission Due Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>October 5</td>
<td>March 20</td>
<td>July 5</td>
</tr>
<tr>
<td>February 5</td>
<td>July 20</td>
<td>November 5</td>
</tr>
<tr>
<td>June 5</td>
<td>November 20</td>
<td>March 5</td>
</tr>
</tbody>
</table>


• The Summary Statement will explicitly note eligibility for next cycle submission.

• This notice excludes submissions for RFAs and PARs with special dates.
Clarification of NIH Policy on Late Submission of Grant Applications

This notice points out a change in text in Notice OD-08-027 (http://grants1.nih.gov/grants/guide/notice-files/not-od-08-027.html). Previously the notice had the following text:

“Late applications have been accepted for reasons such as: death of an immediate family member of the Principal Investigator, sudden acute severe illness of the Principal Investigator or immediate family member, temporary or ad hoc service on a NIH extramural peer review group, service on a NIH Board of Scientific Counselors or Advisory Board/Council, or large scale natural disasters.”

This has been changed to:

“Late applications have been accepted for reasons such as: death of an immediate family member of the Principal Investigator, sudden acute severe illness of the Principal Investigator or immediate family member, or large scale natural disasters. Recent service by the Principal Investigator only (this does not include other participants in the application) on an NIH extramural review group, NIH Board of Scientific Counselors or Advisory Board/Council that could reasonably be expected to require a time commitment that could have been used to prepare an application is also an allowable reason”

The change emphasizes that this consideration applies only to those with the PD/PI role who have recent service, not past service.
NIH Policy on Submission of Additional Grant Application Materials

NOT-OD-08-082 Released on 7/25/08 states:

The additional materials must be submitted to the NIH SRO with the consent of the applicant organization’s designated AOR/SO. NIH requires that the applicant organization include the AOR in the correspondence; the AOR is not necessarily required to submit the application materials. It is acceptable for the PD/PI or Contact PI for multiple PD/PI applications to send such materials with the concurrence of the AOR (designated institutional signing official). Materials sent without evidence of such concurrence will not be accepted.

G&C suggests the following process for submitting supplemental materials to NIH

1.) If science only is being submitted to NIH, copying G&C (your Grant Analyst) would be sufficient as long as what is submitted to NIH states that “the documentation provided contains only science and by copying Washington University’s institutional official we are showing the AOR’s concurrence”.

2.) If any type of budgetary, compliance, or administrative information, or application form pages are being submitted to NIH, G&C would need to review and sign off on the documentation.
From: Teri Medley [mailto:medleyt@msonotes.wustl.edu] On Behalf Of Research News
Sent: Monday, October 13, 2008 1:30 PM
To: teri.medley@wustl.edu
Subject: G&C Bulletin - NIH Change in Resubmission Application Policy

NIH announced a change in the existing policy on resubmission (amended) applications (see http://grants.nih.gov/grants/policy/amendedapps.htm). Beginning with original new applications (i.e., never submitted) and competing renewal applications submitted for the January 25, 2009 due dates and beyond, the NIH will accept only a single amendment to the original application. Failure to receive funding after two submissions (i.e., the original and the single amendment) will mean that the applicant should substantially re-design the project rather than simply change the application in response to previous reviews. It is expected that this policy will lead to funding high quality applications earlier, with fewer resubmissions.

For complete, detailed information, please visit http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-003.html.
Content Categories: Policies-Guidelines- Procedures NIH

Subject: OVCR/Grants and Contracts: NIH Public Access Policy

Please refer to the attached PDF document regarding an update to the NIH Public Access Policy:

http://intramed.wustl.edu/r.nsf/0/C26A3114547C8308862574D3007C7531

Public Access Policy_NIH Monitoring Activities_Notice 0D_08_119.pdf

This information was authored by John Michnowicz - michnowj@m.snotes.wustl.edu

This message was sent to Research News subscribers in the following Job Function(s): All Faculty, All Research Staff - Administrative

The following is a brief summary of the (3) page notice:

In Federal FY2009 (i.e. October 1, 2008 thru September 30, 2009), NIH will be notifying PDs/PIs via email (cc: for the Institutional Business Official) if citations of papers included in applications, proposals or progress reports appear to fall under subject policy but lack a demonstration of compliance with subject policy. NIH will specifically list the citation(s) of concern.

The PDs/PIs will be asked to respond to the email and also cc the Institutional Business Official with a confirmation that citation(s) listed are in compliance. This email confirmation should include:

- The citation for the paper
- Appropriate identifier (i.e. PubMed Central reference number) OR
- NIH manuscript reference number OR
- Indicate “PMC Journal – In Process” (*)

NIH expects citations in an application, proposal or progress report to include the most up-to-date information concerning the status of compliance with the Public Access Policy.

For informational purposes associated specifically with NIH’s Public Access Policy, the following urls are provided:

- http://www.nih.gov/about/publicaccess/
- http://becker.wustl.edu/services/scholarly/faqs.html

(*) = Please refer to the actual Notice for a detailed explanation.
Research Administrators Forum

Danforth Campus
October 23, 2008

Research Office
Enhance support of Transformative Research

- Challenges current understandings or provides pathways to a new field of science, engineering or education
- Intellectual merit review criterion changed to evaluate for transformative research
  - Creative, original or transformative concepts

- PI & Co-PI both responsible for reports
NSF UPDATE

- Revised Grant Proposal Guide (NSF09-1)
  Effective January 5, 2009
  - Rewrite of faculty salary reimbursement policy
  - Guidance on the mentoring requirement from the America COMPETES Act

http://www.nsf.gov/pubs/policydocs/pappguide/nsf09_1/gpg_index.jsp
SALARY REIMBURSEMENT

- Two months of senior project personnel regular salary in any one year – not limited to summer
  - Includes all NSF funded grants (subawards)
  - Charges should track with actual effort
  - Include additional salary needs in proposal, if NSF approved it will be in award
MENTORING

- Describe post doc mentoring activities in *separate section* of project description
  - Career counseling
  - Training to prepare a research proposal
  - Training in responsible professional practices
  - Publications and presentations
  - Improving teaching and mentoring skills
MENTORING

- Mentoring activities will be assessed in the merit review as part of the broader impacts.

- Proposals with post-docs and no mentoring activities in the Project Description WILL BE RETURNED WITHOUT REVIEW.
NASA – Travel Restriction

- NASA Authorization Act, H.R. 6063 signed by the President on October 15, 2008
  - No FY2009 funds may be spent to “…travel to, or pay admission to an international or domestic conference.”
  - PIs will not be restricted from attending conferences with FY 2009 funds but are restricted if sponsoring a conference (as per NASA Shared Services Center)
AMERICAN CHEMICAL SOCIETY
PETROLEUM RESEARCH FUND

- Potential funds shortage for 2009
- Awarded proposals will receive funding in 2009
- New Directions (ND) and Doctoral New Investigator (DNI) proposals submitted during October-November will receive full review in May
  - January decision to either fund out of 2009 funds (available July 2009) or hold for January 2010 distribution
TIPS ‘N TRICKS

- Make a change to the PDS doc?
  - Add a comment to let RO know.

- Are there proposal guidelines to follow?
  - Send URL to RO.

- Received an award notice? Are there pending compliance issues?
  - Get approvals and update PDS doc with approval date(s) and number(s)
FY 2008 KEY STATISTICS

- Proposals Submitted: 686
- New Awards: 408
  - Allocations: 8,255
- Incoming Subs/Contracts: 117
- Outgoing Subagreements: 80
It's QUESTION TIME!!