

Joint WU/VA Appointments How to enter in PDS?

Scenario:

- Dr. X has a WU appt. of .30 and a VA appt. of .70
- Dr. X has a WU/VA Total Salary of \$200,000
- Dr. X's WU ONLY Salary is \$60,000 = Institutional Salary
- NIH Salary cap is N/A! → based on Institutional Salary
- Dr. X is considered Full Time at WU

Joint WU/VA Appointments (Cont.)

1) Calculate Full Fringes: Appt Type 1 with WU/VA Salary

Proposal ID: 081932

Project Periods 1 of 1
 Proposal ID: 081932 Proj Year: 01 From: 07/01/2011 Thru: 06/30/2012 Actual Proj Yr: 01

Personnel 1 of 1
 EmplID: XX0003 008 Name: WUVA Faculty
 *Appt Type: 1.00 *Period/Basis: 12 12
 % of Effort: 100.0000 Pers Type: 11 HRMS Role: Principal Investigator
 % of Salary: 100.0000 Dept/Divn: 003043 003043 Project Role:
 % Cost Share: Personnel Group: 06 - Academic
 Salary Cap: Auto: Y
 Override Start FICA: Effort @ WU

Full WU+VA Salary

History	Amount	Starting	% Inc
History 1:			
History 2:			
Salary 1:	200,000	07/01/2011	
Salary 2:			
Curr Prime:			N

From	Through	Salary	FICA	Annuity	Other	Orig Other	Total Comp
07/01/2011	06/30/2012	200,000.00	9,522.00		8,460.00	8460.00	217,982.00

Totals

Agency	Cost Shared	Other	Total Fringe	Comp
217,982.00		8,460.00	17,982.00	217,982.00

Appt Type = 1

Total Fringes to be requested in the application

Joint WU/VA Appointments (Cont.)

2) Change information to WU Only: Appt Type & Salary

Proposal ID: 081932

Project Periods 1 of 1
 Proposal ID: 081932 Proj Year: 01 From: 07/01/2011 Thru: 06/30/2012 Actual Proj Yr: 01

Personnel 1 of 1
 EmplID: XX0003 008 Name: WUVA Faculty

Appt Type: 0.30
 % of Effort: 100.0000
 % of Salary: 100.0000
 % Cost Share:
 Salary Cap:
 Override:
 Start FICA:
 *Period/Basis: 12 12
 Pers Type: 11
 Dept/Divn: 003043 003043
 Personnel Group: 06 - Academic

HRMS Role:
 Project Role: Principal Investigator

Auto: Y

WU Salary ONLY

History	Amount	Starting	% Inc
History 1:			
History 2:			
Salary 1:	60,000	07/01/2011	
Salary 2:			
Curr Prime:			N

Effort @ WU

From	Through	Salary	FICA	Annuity	Other	Orig Other	Total Comp
07/01/2011	06/30/2012	60,000.00	4,590.00				64,590.00

Totals

Agency	Cost Shared	Other	Total Fringe	Comp
64,590.00			4,590.00	64,590.00

Appt Type = .30

Total Fringes need to be adjusted to match 1st step

Joint WU/VA Appointments (Cont.)

3) Modify Other fringes so Total Fringes = Fringes in 1st step

Proposal ID: 081932

Project Periods 1 of 1
 Proposal ID: 081932 Proj Year: 01 From: 07/01/2011 Thru: 06/30/2012 Actual Proj Yr: 01

Personnel 1 of 1
 EmplID: XX0003 008 Name: WUVA Faculty
 Appt Type: 0.30 *Period/Basis: 12 12
 % of Effort: 100.0000 HRMS Role: Principal Investigator
 % of Salary: 100.0000 Pers Type: 11 Project Role: Principal Investigator
 % Cost Share: Dept/Divn: 003043 003043
 Personnel Group: 06 - Academic

Salary Cap:
 Override:
 Start FICA:

Auto:

History 1: Amount Starting % Inc
 History 2:
 Salary 1: 60,000 07/01/2011
 Salary 2:
 Curr Prime: N

From	Through	Salary	FICA	Annuity	Other	Orig Other	Total Comp
07/01/2011	06/30/2012	60,000.00	4,590.00		13,392.00		77,982.00

Totals
 Agency Cost Annuity Other Total Fringe Comp
 77,982.00 13,392.00 17,982.00 77,982.00

Appt Type = .30

Effort @ WU

WU Salary ONLY

Total Fringes are now adjusted to match 1st step

University/VA Joint Appointment Memorandum of Understanding

Investigator: Dr. X
Application Title: I Wish I Did Not Have to Address Joint WU/VA Appointments

This memorandum is to acknowledge the joint appointment of Dr. X as **Director of Medicine** at the St. Louis VA Medical Center and as **Assoc Professor, Internal Medicine** at Washington University. This memorandum describes the total professional responsibilities mutually arranged by Washington University and the St. Louis VA Medical Center. The combination of administration, clinical activities, instruction/teaching, and research projected for 07/01/2011 to 06/30/2012 at both, St. Louis VA Medical Center and Washington University comprise no more than 100% of the Investigator's total professional responsibilities. Breakdown of responsibilities are as follows:

VA Salary*	WU Salary*	Total Salary*
\$ 200,000	\$ 0	200,000
Percentage 100.0%	Percentage 0.0%	Percentage 100.0%

* = As dictated per current appointment letter dated MM/DD/YY.

VA Salary+	WU Salary+	Total Salary+
\$ 140,000	\$ 60,000	200,000
Percentage 70.0%	Percentage 30.0%	Percentage 100.0%

+ = Proposed salary when awarded, which may or may not include an increase from current salary listed above.

	VA			WU			Total Overall TPR**
	Current Effort	Proposed Effort	Proposed VA TPR**	Current Effort	Proposed Effort	Proposed WU TPR**	
Administration	5.0%	5.0%	3.5%	0.0%	0.0%	0.0%	3.5%
Clinical	75.0%	75.0%	52.5%	0.0%	0.0%	0.0%	52.5%
Instruction/Teaching	5.0%	5.0%	3.5%	0.0%	0.0%	0.0%	3.5%
Research***	15.0%	15.0%	10.5%	0.0%	100.0%	30.0%	40.5%
Total	100.0%	100.0%	70.0%	0.0%	100.0%	30.0%	100.0%

**TPR=Total Professional Responsibilities

***Is any of the Washington University "Proposed" Research applicable with Federal Source(s)?

YES NO

If yes, please list % and federal award(s) separately - **The proposed effort of 100% is for this grant application**

It is certified in this document that the above named Investigator receives salaries from both the VA and WU and that there is no dual compensation from these two sources for the same work.

Signatures:

Investigator's Signature

VA Institutional Signature

Washington University Department Chair
Or Division Chief Signature

Washington University Institutional Signature

--Investigators with joint appointments at a VAMC (VA hospital) and an affiliated university must have an MOU that specifies the title of the investigator's appointment, the responsibilities (at both the university and the VAMC) of the proposed investigator, and the percentage of effort available for research. The MOU must be signed by the appropriate officials of the grantee and the VAMC, and must be updated with each significant change of the investigator's responsibilities or distribution of effort and, without a significant change, not less than annually. The joint VA/university appointment of the investigator constitutes 100 percent of his or her total professional responsibilities. However, NIH will recognize such a joint appointment only when a university and an affiliated VA hospital are the parties involved. (NIH GPS (12/03), Part II, Subpart B, Grants to Federal Institutions and Payments to (or on behalf of) Federal Employees on Grants, VA-University Affiliations)

Joint WU/VA Appointments (Cont.)

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Sources of Guidance Regarding MOUs

- OMB Circular A-21
 - Section J10
 - Section J10.b.(2)(d)

- NIH Grants Policy Statement (NIHGPS)
 - 17.3 VA-University Affiliations

- MOUs must accompany grant application
 - Institutional signature certifies that
 - A formal MOU is documented
 - No possibility of dual compensation

- MOUs
 - Updated MOU required with real significant change
 - Or annually